Triplet Mining for Facial Recognition Convolutional Neural Networks

Team: Surabhi Desai, Islam Faisal, Andrew Nguyen, Prem Talwai Industry Mentors: Cambron Carter and Divyaa Ravichandran

Academic Mentor: Shantanu Joshi





### Research in Industrial Projects for Students (RIPS)

- The Program provides an opportunity for undergraduate students to work in teams with an academic mentor on real-world research projects proposed by sponsors from industry or the public sector.
- Hosted and co-funded by the Institute for Pure and Applied Mathematics (NSF Funded Math Institute on UCLA campus)





## GumGum

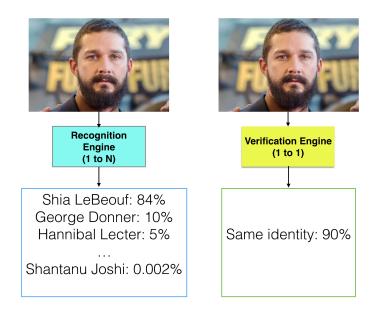
• Applied computer vision company and pioneers in in-image and in-screen advertising



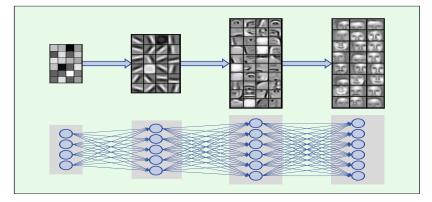


Example of in-image advertising

#### Facial Verification and Recognition



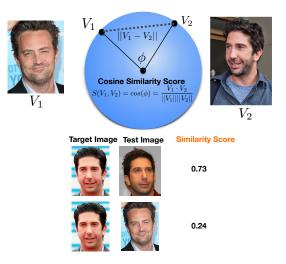
#### Neural Networks for Visual Tasks



Deep Neural Networks Learning Hierarchical Representations ([Hochreiter-16] adopted from [Lee-2011])

# Given the feature vectors of two faces, how can we tell if they represent the same person?

#### Similarity Between Feature Vectors



- Consider a set  $\mathcal{I}$  of high-dimensional training vectors  $X_i$
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- Let  $G_W$  denote the learned (neural network) embedding
- For X<sub>1</sub>, X<sub>2</sub> ∈ *I*, the parameterized euclidean distance function (written shortly as D<sub>W</sub>) can be expressed as

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• The piecewise loss function for a training pair is given by:

$$L(W, X_1, X_2) = \begin{cases} D_W^2 & \text{if similar} \\ max\{0, m - D_W\}^2 & \text{if dissimilar} \end{cases}$$

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• For *P* sampled training pairs and labels, the loss function is given by:

$$\mathcal{L}(W) = \sum_{i=1}^{P} L\left(W, (Y, X_1, X_2)^i\right)$$

#### Triplet Loss for Neural Network Training

• Consider now a triplet of images  $(x^a, x^p, x^n)$ 







Хa

Хр

anchor

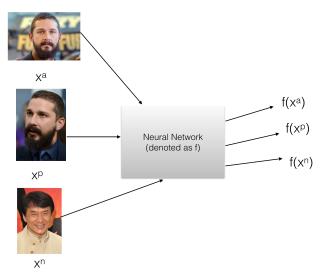
positive

negative

Xn

## Triplet Loss for Neural Network Training (cont)

• Pass them into the neural net, denoted f



## Triplet Loss for Neural Network Training (cont)

• We wish to enforce the following condition for all triplets:

$$||f(x^{a}) - f(x^{p})||_{2}^{2} + m < ||f(x^{a}) - f(x^{n})||_{2}^{2}$$

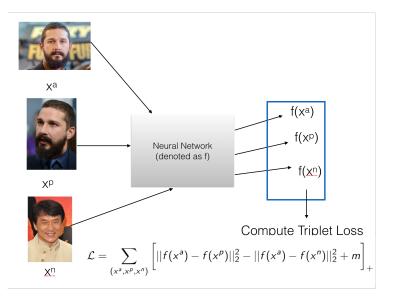
where m is some margin.

• Define the triplet loss:

$$\mathcal{L} = \sum_{(x^{a}, x^{p}, x^{n})} \left[ ||f(x^{a}) - f(x^{p})||_{2}^{2} - ||f(x^{a}) - f(x^{n})||_{2}^{2} + m \right]_{+}$$

where  $[\cdot]_+$  takes the positive part.

## Triplet Loss for Neural Network Training (cont)



- The triplet loss function cannot feasibly use all the data
- Simple combinatorics: 10000 images with 100 classes (relatively small) is about 50 *million* triplets!
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- Simple combinatorics: 10000 images with 100 classes (relatively small) is about 50 *million* triplets!
- Must be able to **mine** for a subset of the triplets that will train best
- Select a smaller subset of "hard" triplets
- How to do so? Somewhat agnostic with respect to mining method—so we present a few ways

• *Hard triplet mining:* For all matching pairs  $(x^a, x^p)$ , choose the  $x^n$  that maximizes the triplet loss

$$\operatorname{argmax}_{x^{n}}\left[||f(x^{a}) - f(x^{p})||_{2}^{2} - ||f(x^{a}) - f(x^{n})||_{2}^{2} + m\right]_{+}$$

• Training is too "extreme", especially at first—bad local minima. Can implement this later in training

• Semi-hard mining: For all matching pairs  $(x^a, x^p)$ , fix m' and choose an  $x^n$  where

$$\mathcal{L} = ||f(x^{a}) - f(x^{p})||_{2}^{2} - ||f(x^{a}) - f(x^{n})||_{2}^{2} + m > 0$$

but

 $\mathcal{L} < m'$ 

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but

$$\mathcal{L} < m'$$

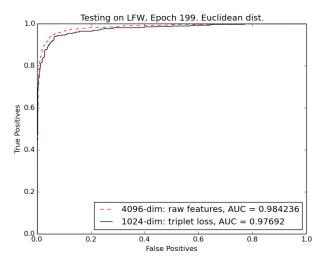
so  $0 < \mathcal{L} < m'$ 

- $\bullet\,$  The triplets are not "as hard" because  ${\cal L}$  is constrained
- Usually m' = m = 1; no real principle to the choice

 Random-hard mining: For all matching pairs (x<sup>a</sup>, x<sup>p</sup>), fix m<sup>"</sup> and choose a random x<sup>n</sup> where

$$\mathcal{L} = ||f(x^{a}) - f(x^{p})||_{2}^{2} - ||f(x^{a}) - f(x^{n})||_{2}^{2} + m > m''$$

 Occupies a middle ground between hard and semi-hard and allows for quicker training Results



Our accuracy on LFW: 0.937

- Developed a Keras Implementation of triplet loss to fine-tune neural network feature embedding
- Developed a framework to combine the recognition and verification decision and a testing framework against a GumGum in-house dataset (\*\*\*)
- Developed and implemented a novel metric learning method for face verification (\*\*\*)

- Academic Mentor: Shantanu Joshi
- GumGum Computer Vision Team, specially: Cambron Carter and Divyaa Ravichandran
- RIPS Director: Susana Serna, Program Coordinator: Dimi Mavalski, IPAM Staff, IT
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- Poster Session: Friday January 12, 4:30 6:00pm Exhibit Hall B2, Ground Level, San Diego Convention Center
- Stochastic Proximal Gradient Methods for Metric Learning: Saturday January 13, 2018 - Room 12, Mezzanine Level, San Diego Convention Center 8:45 am
- Get in touch: rips2017\_gumgum@ipam.ucla.edu